

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/905,384	07/12/2001	Suresh Ramaswamy	20366-007360	8045
20350	7590 08/12/2005		EXAM	INER
	ID AND TOWNSEN	VO, LILIAN		
TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834			ART UNIT	PAPER NUMBER
			2195	
			DATE MAILED: 08/12/2009	5

Please find below and/or attached an Office communication concerning this application or proceeding.

Application No.	Applicant(s)	
09/905,384	RAMASWAMY, SURESH	
Examiner	Art Unit	
Lilian Vo	2195	

**Advisory Action** Before the Filing of an Appeal Brief --The MAILING DATE of this communication appears on the cover sheet with the correspondence address --THE REPLY FILED 27 July 2005 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. 1. 🔯 The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods: The period for reply expires \_\_\_\_\_months from the mailing date of the final rejection. b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection. Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f). Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). **NOTICE OF APPEAL** 2. The Notice of Appeal was filed on \_\_\_ ... A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a). AMENDMENTS 3. 🔲 The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will <u>not</u> be entered because (a) They raise new issues that would require further consideration and/or search (see NOTE below); (b) They raise the issue of new matter (see NOTE below); (c) They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or (d) They present additional claims without canceling a corresponding number of finally rejected claims. NOTE: . (See 37 CFR 1.116 and 41.33(a)). 4. The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324). 5. Applicant's reply has overcome the following rejection(s): \_\_\_ 6. Newly proposed or amended claim(s) \_\_\_\_\_ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s). 7. X For purposes of appeal, the proposed amendment(s): a) will not be entered, or b) X will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended. The status of the claim(s) is (or will be) as follows: Claim(s) allowed: None. Claim(s) objected to: None. Claim(s) rejected: 1 - 19 and 21 - 25. Claim(s) withdrawn from consideration: \_\_\_\_\_\_ AFFIDAVIT OR OTHER EVIDENCE 8. The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e). 9. The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1). 10. The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached. REQUEST FOR RECONSIDERATION/OTHER 11. \( \text{ The request for reconsideration has been considered but does NOT place the application in condition for allowance because: See Continuation Sheet. 12. Note the attached Information Disclosure Statement(s). (PTO/SB/08 or PTO-1449) Paper No(s). 13. 🔲 Other: \_\_\_\_ Lilian Vo

Examiner Art Unit: 2195 Continuation of 11, does NOT place the application in condition for allowance because: Applicant's arguments are not persuasive for the reasons set forth below:

In response to applicant's remark regarding claims 10 and 17 that Bainbridge fails to teach or suggest that a client computer comprises a plurality of proxy objects, each of which associated with an object on a server (page 7, last paragraph - page 8, 1st paragraph and 5th paragraph), these two claims have been amended though with the similar limitations to those recited in claim 1, also have changed their scope as well. Therefore, they are rejected for the similar reasons as stated in claim 1.

Also, claim 17 just recite the limitation in which each of proxy objects is associated with an object and not specifically an object on a server. It is noted that the features upon which applicant relies (i.e., each object on a server) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See In re Van Geuns, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

With respect to applicant's remark that Bainbridge (fig. 2 and col. 6, lines 1 - 7) fails to teach multiple object proxies, especially where the object proxies are associated with objects that perform the same function (page 8, 2nd paragraph and page 9, 2nd paragraph), the examiner disagrees. Bainbridge discloses that when first application program wishes to make a remote procedure call to request that work be done by a server, it sends a message to the proxy object which in conjunction with ORB, forms an object reference from the request which identifies a server group should be used to satisfy the client request (col. 6, lines 1 - 7). In other words, if there are two remote procedure calls, there are two local proxy objects and that also forms two objects reference. Therefore, it would have been obvious for one of an ordinary skill in the art, at the time the invention was made to recognize that the client machine can have more than one local proxy object, one for each remote object in a server on which it communicates/operates with.

Furthermore, Bainbridge also discloses the objects that perform the same function in which each object reference that is formed from each remote procedure call contains additional components such as a server group ID and a policy group ID (col. 6, lines 5 - 7 and 29 - 45).

In response to applicant's arguments against the references individually (page 9, last paragraph), one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See In re Keller, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); In re Merck & Co., 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).